



Dealer Vulnerability Check Up

Red Flags Rule
Safeguards Rule
Disposal Rule

Association of Finance & Insurance Professionals
www.afip.com • info@afip.com • 817-428-2434



Dealer Vulnerability Check Up

SAFEGUARDS RULE

YES

NO

- | | | |
|--------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | Does the store have a written information security program? |
| <input type="checkbox"/> | <input type="checkbox"/> | Has the information security program been updated (in writing) in the last 12 months? |
| <input type="checkbox"/> | <input type="checkbox"/> | Is the person designated as the corporate compliance officer in the program material the person actually managing the program? |
| <input type="checkbox"/> | <input type="checkbox"/> | Does the program contain a written record that new employees with Safeguards responsibilities have been properly trained? |
| <input type="checkbox"/> | <input type="checkbox"/> | Are the job description tasks for those with Safeguards Rule responsibilities fully outlined and recorded in the information security program? |
| <input type="checkbox"/> | <input type="checkbox"/> | Has the dealer made changes to his or her facility that require documented changes to the information security program? If so, have they been documented? |
| <input type="checkbox"/> | <input type="checkbox"/> | Has the store experienced an attempted or actual theft of non-public personal information in the past 12 months? If so, was this incident properly documented and reported? |
| <input type="checkbox"/> | <input type="checkbox"/> | Based on observations made in the store, are documents containing nonpublic personal information (deal jackets, credit applications, driver's license photocopies, etc.) properly protected? |

DISPOSAL RULE

YES

NO

- | | | |
|--------------------------|--------------------------|---|
| <input type="checkbox"/> | <input type="checkbox"/> | Does the store have a written program for disposing of nonpublic personal information recorded on paper? (This may be located in the information security program.) |
| <input type="checkbox"/> | <input type="checkbox"/> | Does the store have a written program for disposing of nonpublic personal information stored electronically? |
| <input type="checkbox"/> | <input type="checkbox"/> | Does the store dispose of nonpublic personal information using a document destruction company? |

This confidential report stays with the dealer.

YES

NO

- _____ Does the store dispose of nonpublic personal information on its own – with no outside support?
- _____ Does the store document the destruction of nonpublic personal information?
- _____ Does the F&I office have a document shredder or a secure document containment box?

RED FLAGS RULE

YES

NO

- _____ Does the store have a written identity theft prevention program?
- _____ Has the identity theft prevention program been updated (in writing) in the last 12 months?
- _____ Is the person designated as the corporate compliance officer in the written program the person actually managing the program?
- _____ Does the plan contain a written record that new employees with Red Flags Rule responsibilities have been properly trained?
- _____ Are the job description tasks for those with Red Flags Rule responsibilities fully outlined and recorded in the identity theft prevention program?
- _____ Has the store experienced an attempted or actual identity theft in the past 12 months?
- _____ If so, was this incident properly documented and reported?
- _____ Has the dealer's board of directors signed off on the store's current (updated) identity theft prevention program?

Is your store at risk?

DealerAide can help – now!

See reverse to learn more.

DealerAide

DealerAide

Marketed by AFIP

A practical and affordable compliance solution

that satisfies Red Flags, Safeguards and Disposal Rule requirements – in one convenient package.

DealerAide NPI Complete



- Template-based – you provide the information, we create your:
 - Customer Information Security Program
 - Identity Theft Prevention Program
- Free and ongoing employee orientation
- Easy to install and maintain – minimal disruption to workflow
- On-site implementation available – call for details

Simple...

1. Order DealerAide NPI Complete. Your confirmation email will contain a link to the information forms you need to fill out so we can generate your custom programs.
 2. Complete and submit the online information forms for each program.
 3. You'll receive:
 - A binder with your printed, customized Safeguards, Red Flags and Disposal Rule programs
 - A 1-hour conference call staff orientation session *(additional new employee training provided free of charge)*
- Red Flags Rule tools to help verify customer identity
 - Red Flags Rule sign to thwart identity theft
 - Secure Document Area signs that support your Safeguards Rule program
 - An email with your program files so you can update them as needed
 - Thumb drive with program installation video

Affordable...

	Retail
DealerAide NPI Complete	\$845.00
Red Flags, Safeguards & Disposal Rules	

DealerAide: Red Flags Rule and DealerAide: Safeguards Rule also available for individual purchase.

Want a discount? Special pricing — and custom installation — available through AFIP Industry Membership.

Send identity thieves next door!

5" x 7" Desk Signs and 8" x 10.5" Wall Signs (available separately) **\$25.95 each**



Don't get caught short! Order Now!

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